



Atty. Dkt. No. 078853-0306

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Roger A. Sabbadini
Title: COMPOSITIONS AND METHODS
FOR THE TREATMENT AND
PREVENTION OF
CARDIOVASCULAR DISEASES
AND DISORDERS, AND FOR
IDENTIFYING AGENTS
THERAPEUTIC THEREFOR
Appl. No.: 10/029,401
Filing Date: 12/21/2001
Examiner: Unknown
Art Unit: 1653

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §1.56

Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231

Sir:

Applicant submits herewith on Form PTO-1449 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 10/029372, filed 12/21/2001. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action

which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of the first Office Action on the merits.

RELEVANCE OF EACH DOCUMENT

The relevance of the foreign-language documents is explained in the parent application.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.



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Respectfully submitted,

Date Sept. 23, 2002

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EXAMINER INITIAL NAME	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
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	A2	5,929,039	07/27/1999	Woodcock, et al.			
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	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION
							YES NO
	A33	WO 98/57179	10/12/2000	PCT			
	A34	WO 01/80903	11/01/2001	PCT			
	A35	WO 99/12890	03/18/1999	PCT			X
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EXAMINER	DATE CONSIDERED

* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.

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REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION	
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		FILING DATE 12/21/2001	GROUP ART UNIT USPTO_ART_UNIT04		
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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>		APPLICANT Roger A. Sabbadini			
		FILING DATE 12/21/2001	GROUP ART UNIT USPTO_ART_UNIT04		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)					
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
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	A146	Holopainen, et al., "Sphingomyelinase Activity Associated with Human Plasma Low Density Lipoprotein," <i>J. Biol. Chem.</i> , <u>275</u> :16484-16489 (2000)	
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